



# **UNEG Executive Coordinator's Annual** and Financial Report 2017-2018

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Host agency: Food and Agriculture Organization (FAO), International

Fund for Agricultural Development (IFAD), World Food

Programme (WFP)

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#### 1. Introduction

1. The UNEG Executive Coordinator's Annual and Financial Report 2017/2018 presents an overview of the UNEG work and of the secretariat's activities from May 2017 to April 2018. It also contains the financial report for Financial Year 2017 (FY2017), from January to December 2017.

#### 2. UNEG Work and Achievements in 2017-2018

2. The "Detailed Programme of Work for the Period 2017-2018" has been published on the UNEG website. After 2017 AGM, the Executive Group held monthly meetings throughout the year and monitored regularly the progress of UNEG's work and finance. The minutes of the EG meetings are available online. A brief summary of the progress of each Strategic Objective is included in Section 2.1 below. More detailed information is available in Annex 1 "Summary of Working Groups' Progress including In-Kind Contributions". Chair's updates were shared with the UNEG community, which are also available on the UNEG website (member login required).

#### 2.1 Implementation of the UNEG Work Plan 2017-2018

3. In 2017-2018, 147 colleagues from 32 UNEG member and observer agencies participate in the work of four Strategic Objectives.<sup>1</sup>

Strategic Objective One (SO1): Evaluation functions and products of UN entities meet the UNEG Norms and Standards for evaluation

- 4. There are four sub-groups under SO1: Professionalization of Evaluation; Peer Reviews; Decentralized Evaluation; and newly established Ethics and Code of Conduct Guidance Taskforce.
- 5. **Professionalization of Evaluation Working Group** will organize in Rome at WFP on 7 May 2018 a one-day roundtable meeting with UNEG members, relevant stakeholders from the South and North. The roundtable event will be organized in conjunction with the UNEG EvalWeek and aims to enhance experience exchange and discuss possible way forward of the Working Group (WG). The WG also initiated a review of the WG members' piloting initiatives in relation to the Evaluation Competency Framework. In addition, the WG contributed to the United Nations System Staff College (UNSSC) M&E training in November 2017 and has been in discussions with UNSSC on the possibility for UNEG to provide knowledge and support for the development and implementation of an evaluation training course.
- 6. **Peer Review Working Group**: <u>UNICEF peer review</u> was completed. Instead of a peer review, UNFPA conducted an "external strategic review of the evaluation function of UNFPA". A survey on existing approaches on peer reviews and external assessments of evaluation functions was conducted in order to learn about the demand for a professional Peer Review or other possible modalities, as well

 $<sup>^{1}</sup>$  Based on the Strategic Objective members list as of February 2018. The figures reflect duplicate headcounts, as some participate in multiple groups.

as the interest and capacity of UNEG members to be engaged in these activities. In this regard it is planned to share and discuss two working papers at AGM 2018.

- 7. **Decentralized Evaluation Interest Group**: Exploratory study of the decentralized evaluation functions across UNEG agencies was finalized. The WG will also participate in 2018 EPE.
- 8. **Ethics and Code of Conduct Guidance Taskforce** was established in August 2017 with WFP as an initial convener. One meeting was held, where initial timeline, workplan and a call for a co-convener was launched. However, due to low level of interest in co-convening and broader engagement, the work of the taskforce has not been pursued. The taskforce would like to organize a discussion with UNEG members during the 2018 EPE to discuss how to move forward.

# Strategic Objective Two (SO2): UN entities and partners use evaluation in support of accountability and programme learning

- 9. For the period 2017–2018, the working group has focused on finalizing the products and documents geared towards to enhancing the use of evaluations, including the following three work streams:
  - Finalize and disseminate <u>principles for stakeholder engagement in evaluation</u>
  - Finalize and disseminate a checklist for quality recommendations
  - Sub-group on knowledge management focused on better use of evaluations: a webinar on <u>Gender Transformative Practices</u> was organized.

# Strategic Objective Three (SO3): Evaluation informs UN system-wide initiatives and emerging demands

- 10. The work plan for SO3 included the following areas: evaluation and the SDGs; gender equality and human rights (GE&HR); humanitarian evaluation; and culture and evaluation.
- 11. **SDG Working Group:** The roadmap for UNEG's engagement in the implementation of the 2030 Agenda and the follow-up and review process has moved forward. A roadmap including a summary of the conclusions of the survey and the consultation process has been drafted and shared with some UNEG Heads. UNEG members also participated in the 2017 National Evaluation Capacity (NEC) Conferences "People, Planet and Progress in the SDG Era", organized by the UNDP Independent Evaluation Office (IEO).
- 12. **Gender Equality and Human Rights (GE&HR) Working Group**: The overall framework for the Chief Executives Board for Coordination (CEB) endorsed UN System-wide Action Plan for Gender Equality and Women's Empowerment (UN-SWAP) is being revised and the second-generation UN SWAP 2.0 is coming into effect in 2018. Within this context, UNEG was requested to consider revising the corresponding Technical Note and Scorecard on UN SWAP Evaluation Performance Indicator. As part of the UNEG SO3 Working Group on Gender Equality and Human Rights work plan, consultations on the possible revision have been conducted. The revision was also required in order to respond to the recommendations of a commissioned <u>independent review</u> and reflect the additional criterion to the exceed category endorsed by UNEG Heads in 2016. <u>Revised UN SWAP EPI Technical Note and Scorecard</u> was endorsed by UNEG Heads in April 2018.

- 13. Humanitarian Evaluation Interest Group (HEIG): Convened jointly by WFP and UNHCR, the HEIG has organised its activities along two issues: reflecting humanitarian principles in evaluation, and humanitarian-development nexus. HEIG finalised a draft pilot guidance on evaluating humanitarian principles, with multiple options for HEIG members to consider and test in the evaluation commissioned by HEIG member agencies. In March 2018, it also finalised and released a mapping and synthesis of evaluations in order to see how they have addressed the topic of the humanitariandevelopment nexus. A total of 110 evaluations commissioned by UN and non-UN entities in the period between 2010 and 2017 were reviewed for the mapping. The study will be presented at the AGM 2018. In addition, in March 2018 the HEIG has conducted a survey among its member to review its set up, delivery against the work plan, agencies' engagement, and continuity of work. Taking into account the survey results, the group needs to discuss its work planning activities and engagement modalities. Moving forward one issue for further discussion and adjustments is how to ensure a more balanced approach between delivering specific guidance products and studies – which tend to be more resource and management heavy (as typically delivered by UNEG Working Groups), and a more interactive and peer learning focused approach (as showcased by other UNEG Interest Groups). The interest of members in convening this interest group has also being assessed through the survey.
- 14. **Culture and Evaluation Interest Group**: A concept note on the Development of Culturally Responsive Criteria for Evaluations was prepared as a preliminary attempt at consolidating growing understanding of Culturally Responsive Evaluations (CRE) and developing CRE as a potential evaluative criteria to guide development aid evaluations. The note articulates a brief description of the criteria, identifies a sample set of questions to illustrate how the criteria can be used in practice, identifies the conditions for its use. The concept note will be presented at 2018 AGM.

# Strategic Objective Four (SO4): UNEG benefits from and contributes to an enhanced global evaluation profession

- 15. The UNEG SO4 Partnerships Working Group has convened with 17 members from 13 UN agencies. The Working Group through the leadership of the Vice Chair defined three work streams including: 1) Taking stock and identifying expectations and demands for partnership within UNEG; 2) Building up a common UNEG partnership strategy; 3) Moving UNEG partnerships to the next level, based on the new strategy (this will take place after 2018 AGM).
- 16. A survey was sent to UNEG Heads in November 2017 in order to assess the needs/demands for partnerships among UNEG members and explore potential partnerships and partnership modalities with various actors in the field of evaluation which could benefit from and contribute to UNEG.
- 17. In 2018, a consultant was recruited to analyse the survey results and lead the drafting of a Partnership Strategy for UNEG. An initial analysis of the survey was presented to the Working Group in early March 2018. As a follow-up, separate one-to-one discussions have been held by the consultant with various UNEG members and a selected sample of current and prospective partners to further discuss/validate the initial findings. The draft Partnership Strategy will be presented at 2018 AGM.

#### UNEG's Role in System-wide Governance and Management Reforms

18. Since June 2017, the UN Secretary-General has released a series of reports indicating wide-ranging reform proposals, including: Repositioning the UN development system to deliver on the 2030 Agenda

— Ensuring a Better Future for all (A/72/124–E/2018/3), Shifting the management paradigm in the

United Nations: ensuring a better future for all (<u>A/72/492</u>) and [<u>Add.1</u>], and <u>Repositioning the United Nations development system to deliver on the 2030 Agenda: our promise for dignity, prosperity and <u>peace on a healthy planet</u> (A/72/684). In these reports, the Secretary-General envisions the establishment of an independent evaluation office responsible for system-wide issues.</u>

- 19. In this context, Susanne Frueh, UNEG Chair, and Indran Naidoo, SO3 Vice Chair on system-wide initiatives, approached the SG's office and met the Deputy Secretary-General Ms. Amina Mohammed on 9 October, 2017. In preparation for this meeting, Susanne, in consultation with UNEG Executive Group and with inputs from UNEG Heads, prepared a Chair's White Paper System-wide evaluation in support of UN reform: Towards Collective Accountability & Learning and shared it with the DSG's office.
- 20. Following the meeting with the DSG, and with input from the informal UNEG consultation with Ms. Michelle Gyles-McDonnough, Director of SDG Unit at SG's office on the side of the UNDP National Evaluation Capacity (NEC) conference in Istanbul on 18 October 2017, Ms. Susanne Frueh put together a second Chair's White Paper titled <u>Independent System-Wide Evaluation</u>: <u>Towards Collective Accountability and Learning</u>. As a follow-up to the meeting with the DSG, Susanne also talked with the co-chairs of the QCPR coordinating team concerning the next steps of the Secretary-General's proposal on the UNDS reform.
- 21. In addition, Susanne met UNEG members, discussing evaluation and the UN reform in NYC (October 9, 2017), Rome (Nov 1-3, 2017) and Geneva (Dec 1, 2017).
- 22. In 2018, from 27 February to 1 March the ECOSOC Operational Activities for Development segment (OAS) took place at the United Nations Headquarters in New York. The segment looked at some of the key elements of the response to the 2016 Resolution on the Quadrennial Comprehensive Policy Review, including the reports of the UN Secretary-General on repositioning of the UN development system in the context of the 2030 Agenda for Sustainable Development, and the 2018 report of the Secretary-General on QCPR implementation. UNEG, together with Joint Inspection Unit of the United Nations system (JIU) and the Office of Internal Oversight Services (OIOS), was invited to speak from the floor at Session V, Renewed spaces for system-wide oversight, transparency and accountability. This session included a discussion on measures meant to strengthen transparency and accountability, including through independent system-wide evaluation (ISWE).
- 23. Indran Naidoo, UNEG SO3 Vice-Chair and Director of UNDP Independent Evaluation Office, spoke on behalf of UNEG during the session on 1 March 2018. The <u>Statement</u> was shared with UNEG Heads for comments before finalization. The session expressed general support to the UN Secretary-General's reform proposals and the need for an ISWE unit with some queries regarding mandate and budgetary implications. Further debates on this issue are to be expected.

#### Other Activities

#### Mapping of evaluation units in the UN system

24. The United Nations Deputy Secretary-General has asked OIOS for a mapping of all evaluation and other oversight offices in the system. Since this reflects a real interest of senior management to

understand better what UNEG members/evaluation units have done and what we can offer, UNEG members were invited to answer a survey prepared by OIOS in September 2017. 44 evaluation units/offices participated in this exercise. Afterwards, UNEG secretariat prepared a <u>summary of the survey</u>.

#### **UNEG and UNDAF**

- 25. In November 2017, In the context of providing comments to "<u>Legal Annex to UNDAFs Supplement to the Guidance Notes</u> "How to Prepare an <u>UNDAF</u>", Guy Thijs and his team at ILO suggested UNEG seize the opportunity to cement the role of evaluation as a distinct area of professional practice. UNEG contacted UNDOCO proposing to replace item 2 of "M&E Box' (page 8) with the following sentence in order to ensure a legal basis for access and appropriate conduct of evaluation:
  - "Programmatic monitoring and evaluation of activities following the UN agencies' standards and guidance for field monitoring and the United Nations Evaluation Group's <u>Norms and Standards for evaluation respectively</u>."
- 26. UNDOCO responded positively to this proposal and Susanne Frueh, UNEG Chair, has further requested for fresh UNEG engagement on improving the quality of UNDAF evaluations.

#### **HLPF Side Events and Engagement with Other Evaluation Communities**

- 27. The High-Level Political Forum (HLPF) is the overarching General Assembly mechanism for SDG policy coordination. In 2017 it took place from 10-19 July. The theme was "eradicating poverty and promoting prosperity in a changing world". In this context, UNEG continued to engage with Member States and participated in official side-events through UNCTAD and UNDP/UNICEF.
- 28. Following an introduction by the UNEG SO3 Vice-Chair Indran Naidoo, the UNEG Chair Susanne Frueh (UNESCO) moderated the Panel Discussion on "evaluation as a mechanism for effective national follow-up and review of progress towards the SDGs" This panel brought together more than 110 government, UN and civil society colleagues into attendance. Meanwhile, Indran Naidoo also participated, together with Inga Sniukaite from UN Women, in a special "SDG Learning, Training and Practice" event organized by Madeeha Bajwa of UNCTAD.
- 29. UNEG is an observer to OECD DAC EvalNet and Evaluation Cooperation Group (ECG). UNEG Chair continued to attend periodic meetings of OECD DAC Evalnet and ECG while strengthening partnerships with these two evaluation bodies.

#### UNEG Midterm Review of UNEG Strategy 2014-2019

30. At 2017 AGM, UNEG approved the Terms of Reference for the Midterm Review (MTR) of the <u>UNEG Strategy 2014-2019</u>. The purpose of the MTR is to explore whether UNEG is doing the right thing, and doing things right, particularly given the Agenda 2030 / Sustainable Development Goals (SDGs). Results of the review are expected to help UNEG identify what adjustments would be needed to the current strategy and inform the design of the next UNEG strategy 2020-2025 (<u>click to view MTR Terms of Reference</u>). A Senior Evaluator Mr. Wayne MacDonald was selected to conduct the MTR reporting to a Management Group, which oversees the assessment process to ensure the finalization and proper

implementation of the TOR (see ToR of the Management Group). Management Group includes the following members:

#### Geneva:

- Miguel Jiménez Pont (ITC), Chair
- Sylta Georgiadis (OHCHR)

#### Vienna

- Javier Guarnizo (UNIDO)
- Katharina Kayser (UNODC)

#### New York

- Janet Wieser (DPI)
- Mathew Varghese (UNICEF)
- 31. The MTR inception report was finalized in January 2018. Following the inception report, the MTR team completed data collection missions in New York, Rome and Geneva in February 2018. During their missions, the review team held three (3) SWOT workshops and close to 60 in person meetings with UNEG colleagues and evaluation users.
- 32. In addition to in person meetings, skype/phone meetings were arranged with UNEG colleagues and evaluation users. Benchmarking for UN-RIAS, ECG, OECD-EvalNet, ALNAP and EvalPartners has been conducted. Specific surveys targeted at UNEG colleagues, evaluation users and the evaluation communities were also administered.
- 33. In late March 2018, a PPT document was shared with UNEG Heads, including emerging findings, conclusions and implications as well as an outline of the report. On 6 April 2018, a workshop with UNEG Heads or their designated representatives was organized in Geneva, hosted by ITC, where the MTR review team presented their preliminary findings. The workshop focused on implications leading to recommendations. 15 agencies attended the workshop. Workshop results were shared with all UNEG Heads for feedback/comments right after the workshop.
- 34. It was planned that the MTR draft report will be shared with UNEG Heads for comments/feedback in mid-April and that the final report will be shared in early May. The report will be presented and discussed at the 2018 AGM.

#### Changes of UNDP IEO Sponsorship to UNEG Secretariat

35. UNDP Independent Evaluation Office (IEO) has been hosting the UNEG Secretariat for over 10 years. In addition to membership fees and "ad hoc" financial contributions to UNEG, UNDP IEO has absorbed 100% of the costs of a P3 Programme Specialist post, 20% of the time of a D1 Executive Coordinator; 5% of the time of Operations and IT support staff; and all the associated costs of office spaces and shared services.

- 36. In March 2018, Indran Naidoo, Director of UNDP Independent Evaluation Office, regretfully informed UNEG Heads that UNDP IEO has to start a process of reducing its support to UNEG including a proposed phased approach to withdrawal from UNEG Secretariat sponsorship role as follows:
  - The P3 post will be taken into UNDP IEO establishment in June 2018. UNDP IEO will offer a one-off payment of \$100,000 to fund a more limited secretariat function location to be decided, till end-May 2019.
  - The Executive Coordinator Arild Hauge, UNDP IEO Deputy Director, can continue for a period of up to six months past 2018 AGM (i.e. to November 2018), subject to a transition arrangement be worked out based on the mid-term review. He will thereafter not be able to allocate time to UNEG.
  - The IEO's role as fund manager may continue till May 2019, pending UNEG proposals on Secretariat.
  - IEO may support the new Secretariat till May 2019, from an IT and communications support, without cost to UNEG.
  - IEO continues to pay its full membership dues.
- 37. Indran Naidoo indicated that hosting UNEG Secretariat has been a rewarding experience for IEO, and UNDP IEO has attempted to exercise the role with efficiency and pride, and believes strongly in the need for a professional network. He stated that UNDP IEO believes that the responsibility for UNEG needs to be equitably shared amongst members. UNDP IEO's decision offers an opportunity for such a relook, more so with the Mid-term Review.

#### Membership Fee Survey

- 38. UNEG Heads decided at the 2016 and 2017 AGMs to continue through to 2018 the 'membership fee pilot' that started in 2014-2015. During this period, the payment can be made on a voluntary basis against an 'office size' scale; with member agencies encouraged to contribute beyond as they can; separately also eventually providing 'extrabudgetary/earmarked' funding for relevant workplan/Subgroup activities.
- 39. The Executive Group requested UNEG Heads to complete a <u>survey</u> on membership fees in early April in order to assess the sustainability of the pilot model. The survey is intended to provide a picture of Members/Heads perceptions on fee issue as framed by current model.
- 40. As of 30 April, 41 out of 47 UNEG members completed the survey.<sup>2</sup> Based on the pilot membership fee scale, 21 members indicated that they can make full or partial contributions to UNEG, 16 members are not certain and 4 cannot make any financial contributions.
- 41. 31 out of 40 members (1 skipped the questions) think that the suggested contribution amount for each tier is appropriate; 20 of 40 members indicated that the membership fee model should be voluntary, while 12 suggested mandatory.

<sup>&</sup>lt;sup>2</sup> DPA became the 48th UNEG member in late April. It indicated that it can make annual contributions to UNEG based on the pilot model.

Size of the evaluation		No. of organization	ons: if your unit can ma	ake contributions ars?	to UNEG in t	he coming
office (No. of staff / suggested membership fee)	No. of eligible agencies	Yes, full contribution	Yes, partial contribution	Uncertain	No	(didn't participate in the survey)
Tier 5 (15 or more / 20,000)	5	3	0	2	0	0
Tier 4 (10- 14 staff / 15,000)	3	2	1	0	0	0
Tier 3 (5-9 staff/ 10,000)	10	4	1	4	1	0
Tier 2 (3-4 staff / 5,000)	13	4	0	3	2	4
Tier 1 (2 or below / 1,000)	16	6	0	7	1	2
Total	47	19	2	16	4	6

- 42. More information on the survey is available in Annex 7b. UNEG members may review the pilot membership fee model and discuss the following issues at 2018 AGM:
  - Should membership fee be "mandatory", "voluntary" or are there other options?
  - Should UNEG keep the current fee structure? Are any amendments needed in order to address realities faced by members?

#### 2.2 Knowledge Management

#### **UNEG** Publications

- 43. The <u>UNEG Norms and Standards for Evaluation</u> has been well received by the global evaluation community since its release (over 25,000 downloads through UNEG website). In 2018, UNEG reprinted six languages of <u>Norms and Standards</u> with an updated forward by UNEG Chair.
- 44. Between May 2017 and April 2018, the following UNEG guidance document/working paper were published:
  - Principles for Stakeholder Engagement (working paper)
  - Revised UN SWAP EPI Technical Note and Scorecard (guidance document)

#### Website, Social Media and Community of Practice

- 45. The <u>UNEG website</u> features UNEG news and events and has provided UNEG members and observers with a platform to feature their respective evaluation unit, staff and activities. It is also equipped with two databases: one for evaluation reports and the other for evaluation plans. Between 1 January 2017 and 26 March 2018, there were over 64,000 page views and approximately 20,176 users, of which 84.7% were new visitors.
- 46. The <u>UNEG YouTube Channel</u>, created in 2013, contains 152 videos (events, webinars, statements, etc.) with, as of March 2018, 15,071 views (about 3000 more compared to February 2017) and 154 subscribers.
- 47. The UNEG twitter account (<u>@UN Evaluation</u>), created on the occasion of 2014 EvalWeek, has sent over 727 tweets, with approximately 3,800 followers (1200 more compared to February 2017) and 645 "Likes" as of March 2018.
- 48. In 2018, UNEG Secretariat started to promote <u>UNEG SLACK Workspace</u> (SLACK is an acronym for "Searchable Log of All Conversation and Knowledge") to UNEG members, hoping that discussions among UNEG colleagues could take place via Slack in the future. As of April 2018, over 60 UNEG colleagues signed up for the UNEG SLACK workspace.
- 49. A webinar on Gender Transformative Change was organized by SO2 on 25 October 2017. The webinar intended to clarify the concept of "gender-transformative change" through the conceptual framework and the theory of change used for the evaluation synthesis report on gender recently conducted by IFAD; present the synthesis methodology and its challenges; and identify examples of transformative change processes and how they are captured in evaluation in the context of specific Sustainable Development Goals.

#### Database

- 50. The UNEG website currently provides two databases:
  - A database on evaluation plans was designed to assist UNEG members in identifying areas of collaboration and to facilitate joint evaluation. Information stored here is available only to UNEG members. Users can search for evaluation plans by a) region; b) country; c) planned start date; d) expected completion date; e) agency; f) evaluation type; g) whether a joint evaluation is intended and, if so, with which agency; and, finally, by h) keyword. Search results also include a brief description of the planned evaluation and the name and contact information of the focal point responsible for the evaluation. As of 9 April 2018, 9 agencies (GEF, IFAD, UNDP, UN-ESCWA, UN-ECE, UNESCO, UNIDO, UNFPA, and WFP) have uploaded their evaluation plans. The number of participating agencies is still low and UNEG members are encouraged to upload their evaluation plans, so that the information can be utilized for joint evaluation activities.
  - A <u>database on evaluation reports</u> supports UNEG members in identifying past evaluation reports for learning purposes. It also serves as a repository of reports for agencies that do not currently maintain their own databases. The database is available to the general public. Users can search for reports by a) region; b) country; c) planned start date; d) agency; e) evaluation type; f) whether a joint evaluation is planned and if so, with which agency; g) consultant name; and, finally, by h) keyword. The database also serves as a repository of information about consultants. As of 9 April 2018, it contains 6,777 reports from 25 agencies (FAO, GEF, IFAD, ILO, ITC, OHCHR, SDG-F,

OIOS, UNCDF, UNDP, UN-DPI, UN-ECE, UN-ECLAC, UN-ESCWA, UNESCO, UNFPA, UN Habitat, UNHCR, UNICEF, UNIDO, UNITAR, UN WOMEN, UNV, WFP and WIPO), that can be accessed by the public. UNEG members are encouraged to upload their evaluation reports or connect their databases to the UNEG database.

### 3. UNEG Member and Executive Group Changes

#### 3.1 UNEG Members

- 51. In 2017, UNEG welcomed 4 new observers. They are: Office of the Under-Secretary General, United Nations Department of Political Affairs (**DPA**); Independent Evaluation Unit, Green Climate Fund (**GCF**); Impact and Evaluation Unit, Global Green Growth Institute (**GGGI**); and Independent Oversight Mechanism, International Criminal Court (**ICC**).
- 52. At 2017 AGM, UNEG granted DPA observer status and indicated that once DPA's evaluation policy is finalized they could re-apply for membership status in line with prevailing membership criteria. In April 2018, **DPA** re-submitted membership application together with its new evaluation policy and was granted membership status.
- 53. In 2018, UNEG has received inquiries from CGIAR and International Federation of Red Cross and Red Crescent Societies (IFRC) regarding applying for UNEG observer status. European Court of Auditors also asked how to become a UNEG institutional partner.
- 54. In light of the Midterm Review of the UNEG Strategy, UNEG Heads may discuss membership/observer/institutional partner criteria at 2018 AGM.
- 55. Membership/observer status applications received by UNEG from 2014 were listed as follows:

Year	Organization	Application	Decision
2014	Department for General Assembly and Conference Management (DGACM)	Membership	Granted
	Peacebuilding Support Office (PBSO)	Membership	Granted
	CGIAR	Observer status	Denied
	Organization for Security and Co-	Observer status	Denied

	operation in Europe (OSCE)		
	Council of Europe (CoE)	Observer status	Denied
2015	Pan American Health Organization (PAHO)	Membership	Granted
	United Nations Interregional Crime and Justice Research Institute (UNICRI)	Membership	Granted
	United Nations Institute for Training and Research (UNITAR)	Membership	First granted observer status; status was changed to member in 2016 after one full time evaluator was recruited
	Water Supply and Sanitation Collaborative Council (WSSCC)	Membership	Granted institutional partner status
2016	United Nations System Staff College (UNSSC)	Observer	Denied
2017	Gavi, the Vaccine Alliance	Observer	Denied
	DPA	Membership	Granted observer status
	GCF	Membership	Granted observer status
	GGGI	Membership	Granted observer status
	ICC	Membership	Granted observer status
2018	DPA	Membership	Granted

#### 3.2 UNEG Heads

56. In 2017/2018, there were several changes in the UNEG Heads. UNEG would like to acknowledge the valuable contributions made by those who departed and also warmly welcome our new colleagues to the UNEG community.

#### **New UNEG Heads/Acting Heads**

Maria Grazia Bovo (DGACM)

Tuncay Efendioglu (ICAO)

Javier Guarnizo (UNIDO)

**Thierry Heritier** (CTBTO)

George Laryea-Adjei (UNICEF)

Victoria Saiz-Omenaca (OCHA)

Elisabetta Pegurri (UNAIDS)

Marco Segone (UNFPA)

Ritu Shroff (UNHCR)

Inga Sniukaite (UN Women)

#### 3.3 Upcoming UNEG Executive Group Transition

57. The term of UNEG Vice-Chairs of SO1, SO2 and SO3 will come to an end at 2018 AGM after a two year term. The Executive Coordinator organized an election in mid-March. As of mid-April 2018, two nominations were received, namely Miguel Jimenez-Pont, ITC for SO2 (nominated by Christophe Franzetti, IOM) and Indran Naidoo, UNDP for SO3 (nominated by Susanne Frueh, UNESCO). On 20 April, the Executive Coordinator sent a message to UNEG Heads and suggested that instead of pressing for additional nominations, UNEG Heads should discuss at 2018 AGM the transitional governance model in the context of the MTR and the new secretariat situation (see "Changes of UNDP IEO Sponsorship to UNEG Secretariat" in section 2.1).

#### **UNEG Executive Group (current as of April 2018)**

Susanne Frueh, Director, Internal Oversight Service, UNESCO (UNEG Chair 2017-2019)

**Andrea Cook**, Director, Office of Evaluation, WFP (Vice-Chair for evaluation functions 2016-2018, SO1)

**Oscar Garcia**, Director, Independent Office of Evaluation, IFAD (Vice-Chair for use of evaluation 2016-2018, SO2)

**Indran Naidoo**, Director, Independent Evaluation Office, UNDP (Vice-Chair for system-wide initiatives 2016-2018, SO3)

**Masahiro Igarashi**, Director, Office of Evaluation, FAO (Vice-Chair for partnerships 2017-2019, SO4)

**Arild Hauge**, Deputy Director, Independent Evaluation office, UNDP and new UNEG Executive Coordinator

### 4. UNEG Financial Report 2017

#### 4.1 Overview

58. The two tables below depict the financial position of UNEG account for FY 2017 and for year 2018 (Jan-Feb). The overall account balance summary for 2017 (Jan-Dec) is provided in the table 1(a) below.

Table 1(a). UNEG Account Balance Summary 2017

2017 UNEG Account Balance Summary (USD)						
<b>2017 Opening Cash Balance</b> (based on project resource overview as of 01 Jan 2017)	595,329					
2017 Revenue collected (based on project resource overview) 407,670						
2017 Actual Expenditures <sup>i</sup> (based on combined delivery report) (284,088)						
2017 Commitments (based on project resource overview) (104,446)						
<b>2017 Closing Cash Balance</b> (Based on project resource overview 635,273						
as of 31 Dec 2017)						
i This figure may not reflect all 8% UNDP General Management Support (GMS) deduction as finance makes revisions throughout the first quarter.						

- The figures represent the income and expenditures managed by (channelled through) the UNEG Secretariat, and do not include financial contributions made by the members directly to programme activities nor UNDP's operational costs.
- All figures are based on ATLAS reports generated in March 2018.
- "Revenue collected in 2017" figure reflects some of contributions signed in 2016 but transferred in 2017.
- 59. The overall account balance summary for 2018 (Jan-Feb) is provided in the table 1(b).

Table 1(b). UNEG Account Balance Summary 2018

2018 UNEG Account Balance Summary (USD)					
2018 Opening Cash Balance as of 01 Jan 2018 (based on project	635,273				
resource overview)					
Revenue collected in 2018 as of 05 March 2018 (based on project resource overview)	30,240				
Actual expenditures in 2018 as of 05 March 2018 (based on combined delivery report)	(21,986)				
Expected expenditures (as of 31 May 2018)	(204,584)				
<b>Estimated Resource Balance (as of 31 May 2018)</b>	438,943				
<sup>i</sup> This figure may not reflect all 8% UNDP GMS deduction as finance makes revisions throughout the first quarter.					

- "Revenue collected in 2018" figure may not yet reflect the total amount of all contributions signed at the end of 2017 or the beginning of 2018 which are being processed.
- Expected costs as of May 2018 refer to upcoming contract payments and estimated costs of activities which have not yet occurred, such as the partially delivered contracts, 2018 EvalWeek, etc.
- The estimated resource balance (as of May 2018) is \$438,943. The figure includes the estimated remaining balance of \$78,000 from UN Women and \$130,000 from UNDP earmarked funds.

#### 4.2 Contributions

- 60. UNEG members made financial contributions in two ways: i) directly to the projects or working groups of their affiliation; and ii) through UNEG Secretariat.
- 61. UNDP Independent Evaluation Office, as the host of the UNEG secretariat, covered approximately USD \$312,000 in staff costs serving the Secretariat in 2017 (i.e. a full-time P3 Programme Specialist, 20% of a D1 staff as Executive Coordinator, 5% staff time each of a P3 IT Specialist and a P4 Operations Specialist). Additional costs are incurred for office space and operating expenses.
- 62. The following member agencies made financial contributions directly to the various working group activities and UNEG events they were engaged in:<sup>3</sup>
  - SO1 Professionalization of Evaluation Working Group

o WFP: \$1,500

• SO3 Humanitarian Evaluation Interest Group

o FAO: \$2,845

• SO3 Culture and Evaluation Interest Group

o UNESCO: \$7,000

- 63. In addition to the cash/financial contributions, many member agencies provided significant 'in-kind' support (e.g. services or goods) to the implementation of the working group activities. A summary of in-kind contributions is attached to Annex 1.
- 64. Funds received through the UNEG Secretariat (e.g. membership fees and earmarked funds) are reported in Annex 2, and the summary by tier is shown in Table 2 below.

**Table 2. Distribution of Contribution for 2017 (including earmarked funds)** 

office (No. of staff / eligible Above the threshold Exact Below the "zero" Total	0' 6 (1 1 (1	3.7	No. of organ	nizations wh	o contributed		
suggested membership fee) agencies amount threshold (no) fees	Size of the evaluation office (No. of staff / suggested membership fee)	ι ε	Above the threshold			Total	

<sup>&</sup>lt;sup>3</sup> Based on "UNEG Working Group: Work Progress and Summary of Contributions." These funds were not managed by the Secretariat.

Tier 5 (15 or more / 20,000)	5	1 (Membership fee plus additional Earmarked fund)	4	-	-	233,200
Tier 4 (10-14 staff / 15,000)	3		2	1	-	36,200
Tier 3 (5-9 staff/ 10,000)	10		8	2	-	96,535
Tier 2 (3-4 staff / 5,000)	13		3	1	9	18,800
Tier 1 (2 or below / 1,000)	16		14	-	2	16,120
Total	47	1	31	4	11	399,775
Observer	7		1		6	1,080
Grand Total:						400,855

- In 2017, a total of 37 member agencies and 1 observer signed Contribution Agreements with UNEG, pledging the required membership fees and making earmarked funds (Annex 2). The total financial contributions to UNEG (including earmarked funds) amounted to USD 400,855.<sup>4</sup>
- The overall number of agencies (37 member agencies and 1 observer) making some type of financial contribution to UNEG has continued to increase over time, including new contributors.
- About 78% of the member agencies (37 agencies) paid the full membership fees (or more in terms of earmarked funds) in 2017. The overall financial contribution has been increased by about 60% as compared to previous year (2016).
- The number of those who did not contribute to the membership fees decreased by a 16-percentage point between the two cycles 2017 (21%) and 2016 (37%).

Table 3. Contribution Agreements 2013 - 2017

Year	No. of Contribution	No. of New Contributors Included
	Agreements Signed	
2013	11	0
2014	15	IOM and ITC (2)
2015	16	PAHO, UNICRI, UNRWA, and WMO (4)
2016	24	ECE, ESCAP, IAEA, ICAO, IMO, OPCW, PBSO, UNAIDS, UNCTAD,
		UNITAR, WHO (11)
2017	38	CTBTO, ESCWA, GCF (3)

65. It should be noted that, in principle, UNEG encourages its member agencies to contribute to the UNEG general fund (i.e. non-earmarked), and not to specific aspects of the work programme. Earmarked funds

<sup>&</sup>lt;sup>4</sup> The amount (USD 400,855), including a large one-time earmarked contribution of USD 130,000 from UNDP, is higher than the pledged contribution for 2016 (USD 242,640). Contributions correspond to the year regardless of the signing date. Multi-year contributions have been bifurcated for different years according to the agreement. For instance, if an agency makes a multi-year contribution for 2017 and 2018 in 2017, the amount of fund assigned for 2018 will not be considered for year 2017, therefore not being included in Table 2.

- may be provided in addition to the suggested membership contributions. UNEG should not be used as a vehicle for channelling funds to members' self-selected partners.
- 66. If there are specific financial reporting requirements from their organizations, for instance, reporting on how its contribution was spent in a particular year, members should make contributions as early as they can so that it allows enough time for the funds to be transferred, received, budgeted and disbursed.
- 67. Members in future will be encouraged to expend approved funds from their own budgets and be reimbursed by the UNEG Trust Fund.

#### 4.3 Expenditures

68. A summary of expenditures by Strategic Objective in FY 2017, January-February 2018 (based on 05 March 2018 Atlas reports), and the expected expenditures from March to May 2018 is presented in Table 4 below. The expenditures may not reflect all 8% UNDP General Management Support (GMS) deduction as finance sometimes makes revisions throughout the first quarter.

**Table 4. Summary of Expenditures** 

		2017 (Jan-Dec)	2018 (Jan-Feb)	2018 (March - May)
Activity	Description of Expenditure	Sum of Expenditure	Sum of Expenditure	Expected Expenditure
SO1				
	Consultants costs	17,821.74		10,000
	Audio Visual Productions/Printing and Publications	28,425.70		
	Postage & Pouch	237.96		
	Travel Tickets- International & Daily subsistence Allowance	8,070.28		13,000
	Facilities & Admin - Implement	3,491.36		920
SO1 Total		58,047		23,920
SO3				
	Hospitality-Vouchered Expenses	1,134		
	Intl. Consultants Costs	7,297.50		
	Local Consultant Costs	15,637.50		
	Facilities & Admin - Implement	889.67		
SO3 Total		24,959		
SO4	Intl. Consultants Costs	6,360.00		12,000
	Travel Tickets- International & Daily subsistence Allowance	35,236.85		4,000
	Facilities & Admin - Implement	2,915.62		640

SO4 Total		44,512		16,640
EvalWeek	Travel Tickets- International & Daily subsistence Allowance	2,081.73		16,000
	Postage and Pouch	303.61		300
	Hospitality-Special Events	11,449.68		14,000
	Audio Visual Productions/Publication	8,384.37		12,000
	Conference organizing services			15,000
	Facilities & Admin - Implement	1,446.37		4,584
EvalWeek Total		23,666		61,884
Secretariat and others	Int./local Consultants Costs (MTR & UNEG Assistant)	88,453.91	13,050	72,050
	Travel Tickets- International & Daily subsistence Allowance	15,271.51	7,109.80	13,500
	Information Technology services	23,741.66	1,310	200
	Hospitality Catering	186.00	261.03	
	Stationary & postage	325.63		
	Audio Visual Productions/Printing and Publication			8,500
	Facilities & Admin - Implement	4,925.64	255.33	7,890
Secretariat a	and others Total	132,904	21,986	102,140
<b>Grand Total</b>		284,088	21,986	204,584

### $69.\,$ UNEG Secretariat expenditure for 2017 is reported under Table $5\,$

**Table 5 UNEG Secretariat Costs for 2017** 

Funds Sourced by	Secretariat and Eval Week	Expenditure
UNEG	Website and email list servers/ maintenance	23,742
UNEG	Evaluation Week Cost	23,666
UNEG	Secretariat Travel costs	15,272
UNEG	Int./local Consultants Costs (MTR & UNEG Assistant)	88,454
UNEG	Miscellaneous	511
UNEG	UNDP GMS charges	4,926
(UNEG) Line Total:		156,571
UNDP	Full-time Programme Specialist (P3)	220,016

UNDP	20% of a D1 Staff (Executive Coordinator	68,700			
UNDP	5% of Operations Specialist (P4)	12,800			
UNDP	UNDP 5% of IT Specialist (P3)				
(UNDP) Line Total	312,000				
Grand Total		468,571			

70. UNEG Secretariat presented financial reports to the Executive Group monthly since September 2017. All monthly reports, contribution and expenditure data, as well as Atlas reports (such as combined delivery reports, project resource overviews, and project transaction detail reports) are available at <a href="UNEG Financial Reports">UNEG Financial Reports</a>.

# Annex 1 Summary of Working Groups' Progress including In-Kind Contributions (based on WG submissions)

UNEG Member Agency	In-kind Contribution (e.g. recruitment of consultants, coordination role, technical advisory, provision of facility, administrative support, etc.)	Work Overview	What remains to be done or the way forward (2018/2019)
	de of Conduct Guidance Taskforce		
WFP	Conveners role – Staff time only	The Ethics task-team was set-up in August 2017, with WFP as initial convener. One task-team meeting was held on 25 September 2017, where initial timeline, workplan and a call for a co-convener was launched. Subsequent calls for a co-convener did not produce results, therefore given the low level of interest the work of the task-team was not pursued.	The level of real interest in UNEG taking forward work on ethics needs to be fully explored, before further investment in this workstream. Recently, UNICEF has expressed possible interest in co-convening, however for this work to be meaningful a broader engagement of UNEG members is necessary. We suggest that a discussion be organized with UNEG members during the course of the upcoming EPE.
SO1: UNEG Peer R	eview Working Group		
UN Women and UNEP	Co-conveners of the Working Group including leading and coordinating implementation of the work plan activities, facilitating Peer Reviews and quality assurance of products.	<ul> <li>DAC/UNEG Peer Review of the Evaluation Function of UNICEF finalized</li> <li>External Strategic Review of the Evaluation Function of UNFPA finalized</li> </ul>	Update the peer review guidance document with the input on the peer reviews for "small evaluation functions". This work will be completed after the guidance on the validated self-assessment is produced in 2018.
UN Women, UNEP, UNDP, IAEA, UNESCO, GEF, UNICEF,	Substantive contribution and quality assurance of different products produced by the Working Group	<ul> <li>Survey on "Demand and Supply for Peer Reviews" conducted</li> <li>Working Paper on "Background Note on the UNEG Peer Review Mechanism" developed</li> </ul>	The Way Forward (2018/2019 and beyond) Review of implementation of management responses for peer reviews
UNIDO, UNRWA, UNFPA, OHCHR		Working Paper on "Modalities for Evaluating, Reviewing or Assessing an Evaluation Function" developed	Guidance for validated self-assessment UNEG AGM and EPE

SO1: Professionaliz	cation of Evaluation Working Group	Presentation on the work plan progress made during the last UNEG AGM in Vienna	
WFP  UNITAR, UNICEF, ILO, FAO, UNIDO, OPCW	Co-convener and overall coordinator of the WG's work Administrative support for parts of the WG's work Support in the recruitment of consultant to conduct the UNEG ECF review Provision of facility for our round table one-day workshop (tbc) Co-facilitation of UNSSC training on M&E Organizer of Round Table meeting with external stakeholder  Co-convener and overall coordinator of the WG's work Organizer of Round Table meeting with external stakeholder  Active membership and engagement.	<ul> <li>A review of the WG members piloting of the UNEG Evaluation Competency Framework (ECF) has been commissioned, procured and conducted (will be finalised and presented at round table 7 May, see below).</li> <li>In 2017, supported UNSSC in their delivery and training modules on monitoring and evaluation, and related to UNEG's mandate and ECF.</li> <li>Explorations of opportunities for UNEG/this WG to provide support to the UNSSC in developing and delivering evaluation training for the 2030 Agenda have been supported and are still on-going.</li> <li>A draft calendar of events relevant for the WG has been development and is still ongoing. The aim is to better coordinate and enhance the effectiveness of WG members' possible input on professionalization, and where relevant, the presentation of the ECF at these events.</li> <li>To exchange experiences on professionalization of evaluation, trends, lessons learnt and possible roadmaps ahead, a one-day round table meeting will take place 7 May in Rome. Relevant stakeholders from the South and North, and from global, regional and national organizations engaged in professionalization of evaluation have been invited. This has been, and still is a major undertaking for the WG, and an expected</li> </ul>	<ul> <li>Possibly continued dissemination of the UNEG ECF, or other activities as per the recommendations of the review of the WG's piloting of the ECF.</li> <li>Finalise the calendar of events so as to enhance the effectiveness of the WG's engagement in/sharing of UNEG's work on professionalization and in the dissemination of the UNEG ECF</li> <li>Further exploring opportunities to support UNSSC's development and delivery of evaluation training for the Agenda 2030.</li> <li>Further explore opportunities to support UNITAR's evaluation training (UNITAR is to present their review of their first pilot training programme at the roundtable and based on that, exploration could be made on how UNEG WG best can support UNITAR in future).</li> <li>The Way Forward (2018/2019 and beyond)</li> <li>Possible proposal for the way forward related to the further dissemination and/or on how to further UNEG's work to use the ECF will be based on the review of the UNEG piloting of the ECF, to be finalised early May</li> <li>Possibly support the UNSSC and UNITAR in their efforts to develop and implement evaluation training for UN staff and UN member states.</li> </ul>

SO3: SDGs W	orking Group	outcome of the meeting is for UNEG to further enhance our understanding of current trends and on-going initiatives, as well as exploring opportunities to establish a global network for furthering the partnership on professionalization of evaluation.  • The working group has adopted a participatory approach for our work, and most members have been actively engaged.  Normally, we have had monthly meetings, and lately, with the round table coming up, even more frequent. Work has taken a lot of resources and time, especially from the coconveners.	Continue work to strengthen UNEG's understanding and knowledge of current trends and on-going initiatives on professionalization of evaluation and foster partnerships institutionally as well as globally, regionally and nationally.
UNDP	Convener's role	The roadmap for UNEG's engagement in the implementation of the 2030 Agenda and the follow-up and review process has advanced. A roadmap including a summary of the conclusions of the survey and the consultation process has been draft and shared with some UNEG heads.  New advocacy and visibility activities to promote the evaluation function, practice and use regarding the SDGs have been conducted and planned. This included the participation of IEO Director and Deputy Director at international conferences where they presented the challenges, opportunities and lessons learned in the evaluation of development interventions. Among the activities:  • IEO-Director, as SO3 Vice-Chair, addressed those topics in conferences and lectures in the United States (Washington and New York), Japan and Botswana.	The roadmap draft needs to be completed, particularly the results framework. Additional discussions within the SDGs working group and with UNEG heads should be planned. The final version should be finalized for a possible presentation and approval at the 2019 AGM.  Side-events on evaluating development interventions in the SDGs era will need follow-up if the proposals are accepted.

		<ul> <li>IEO Deputy Director did also presentations during the ODA evaluation workshops in Columbo (Sri Lanka) and a mission in China.</li> <li>A statement at the ECOSOC operational activities for development segment presenting UNEG members' views regarding the UN secretary general proposal to establish an independent system-wide evaluation unit to bolster accountability of the UN development system.</li> <li>Side-events on the evaluation practice and the SDGs have been proposed at different Forums, such as the Hight Level Political Forum, to take place in July 2019, and the UN World Data Forum, to be hold in Dubai in October 2018.</li> <li>In addition, UNEG members actively participated in the 2017 NEC Conference organized by the IEO of UNDP as part of its work to enhance national evaluation capacities.</li> </ul>	
SO3: Human Rights	s and Gender Equality (HR&GE)		
UN Women, OHCHR, UNICEF, UNFPA, UNODC, UNDP, ESCAW, UNFPA, WFP, FAO and WHO.  UN Women and OHCHR	Substantive and quality assurance of different products produced by the Working Group  Co-conveners of the Group including leading and coordinating	<ul> <li>UN-SWAP Evaluation Performance         Indicator Technical Note and Scorecard         revised and endorsed by UNEG heads.</li> <li>UN-SWAP Evaluation Performance         Indicator Peer Learning Exchange         facilitated.</li> <li>Guidance on Evaluating Institutional Gender         Mainstreaming produced.</li> <li>Annual synthesis report of the UN-SWAP         Evaluation Performance Indicator for 2017         reporting cycle results produced.</li> </ul>	Webinar series on good practices on integrating Human Rights and Gender Equality in evaluation; UN-SWAP EPI trends and new guidance developed by the Working Group  The Way Forward (2018/2019 and Beyond):  Webinar series on good practices on integrating Human Rights and Gender Equality in evaluation  Disseminating UN-SWAP EPI trends and
OHOIM	implementation of the work plan activities, hiring of consultants,	Presentation on the work plan progress made during the last UNEG AGM	new guidance developed by the Working Group

SO2. Humonitonion	facilitating peer learning exchange and quality assurance of products. UN Women also serves as secretariat for the UN-SWAP Evaluation Performance Indicator which includes providing guidance, feedback to all reporting entities and production of annual UN SWAP EPI synthesis report.  Evaluation Interest Group (HEIG)		<ul> <li>Exploring possibility of Joint review of UNDAF evaluations with a gender lens (TBC)</li> <li>Annual UN SWAP EPI reporting including Peer Learning Exchange</li> <li>UNEG EPE and AGM</li> </ul>
WFP – OEV	HEIG co-convenor and task team lead to support the pilot of the draft guidance on 'reflecting humanitarian principles in evaluation'	The Humanitarian Evaluation Interest Group was established after the 2015 AGM. The work of the group to date has been focusing on two issues: reflecting humanitarian principles in evaluation, and humanitarian-development nexus.  In 2017-2018 the group finalised a draft pilot guidance on evaluating humanitarian principles, with multiple options for HEIG	Ahead of AGM 2018, the HEIG has conducted in March 2018 a survey among its member to review its set up, delivery against the work plan, agencies' engagement, and continuity of work. Taking into account the survey results, the group needs to discuss its work planning activities and engagement modality. Looking forward one issue for further discussion and adjustments is how to
UNHCR – Evaluation Service	HEIG co-convenor and full member of dedicated HEIG task team to manage to completion the HEIG mapping of evaluations on the Humanitarian-Development Nexus	members to consider and test in the evaluation commissioned by HEIG member agencies.  The group finalised and released in March 2018 a mapping and synthesis of evaluations to see how they have addressed the topic of the humanitarian-development nexus. A total of 110 evaluations commissioned by UN and non-UN entities in the period between 2010 and 2017 were reviewed for the mapping. The study will be presented at the AGM 2018 in	ensure a more balanced approach between delivering specific guidance products and studies – which tend to be more resource and management heavy (as typically delivered by UNEG Working Groups), and a more interactive and peer learning focused approach (as showcased by other UNEG Interest Groups). The interest of members in convening this interest group has also being assessed through the survey.
FAO – Evaluation Office	Full member of dedicated HEIG task team to manage to completion the HEIG mapping of evaluations on the Humanitarian-Development Nexus	Rome.	The best way forward to maintain or phase down the HEIG work streams engagement around the Humanitarian Principles and on the Nexus are also topics for discuss following AGM 2018.  The Way Forward (2018/2019 and Beyond):

WHO	Full member of dedicated HEIG task team to manage to completion the HEIG mapping of evaluations on the Humanitarian-Development Nexus		Specific direction and focus of HEIG work in the coming year(s) will also depend on the results of the members' survey result, and follow up discussion at AGM 2018. Much will also depend on the effectiveness of any specific action put in place in the coming months to ensure greater continuity in, and shared ownership for the work of the interest group.  The UNEG MTR results may also bring a contribution to the internal HEIG discussion on the best set up and way forward for the group for 2018 and beyond and will be taken into consideration.
SO3: Culture and E	valuation Interest Group		
UNESCO Evaluation Office	Development of ToR, Recruitment of consultant, Quality Control and Final Approval of Concept Note	A Concept Note on the Development of Culturally Responsive Criteria for Evaluations was commissioned and is being presented to the AGM in May 2018. The note articulates a brief description of the criteria, identifies a sample set of questions to illustrate how the criteria can be used in practice, identifies the conditions for its use.	This Concept Note was shared with members of the Culture and Evaluation Interest Group, as well as several international evaluators and experts in CRE. It is expected to be presented to the UNEG AGM in May 2018.  The Way Forward (2018/2019 and beyond): UNESCO's Evaluation Office proposes to host a 1-2-day international seminar on the subject of culturally responsive evaluation in the fall of 2018.
SO4: Partnership			
FAO, PAHO/WHO UNDP, UNICEF UNIDO, UNITAR UNRWA,	The following UNEG member agencies contributed in-kind resources in developing and coordinating the partnership survey, and recruiting the consultant.	A. Work stream 1: Taking stock and identifying expectations and demands for partnership within UNEG (a) Past and current partnerships identified and evaluated (b) Expectations from ongoing and potential partnerships identified	B. Work stream 2: Building up a common UNEG partnership strategy (a) Partnerships Strategy developed (b) A decision-making mechanism (e.g. Partnership Committee) set up
UNWOMEN WFP		Work stream 1 activities: (i) Identification of past and current partners (ii) Survey of a) UNEG heads; b) UNEG partners and iii)	Work stream 2 activities: i) Preparation of draft partnership strategy ii) Presentation at AGM & review by UNEG members iii) Finalization of partnership strategy iv) Set up

potential partners for UNEG (iii) Drafting of ToR for partnership consultancy (survey analysis and partnership strategy development) RESULTS:

The UNEG SO4 Partnerships Working Group has convened with 17 members from 13 UN agencies. The Working Group through the leadership of the Chair defined how the three work streams of UNEG SO4 would be implemented. A sub- group was formed to support the development and administration of the surveys, which took place between October and December 2017. The purpose of the surveys were mainly to: (1) Assess the needs/demands for partnerships among UNEG members and (2) Explore potential partnerships and partnership modalities with various actors in the field of evaluation which could benefit from and contribute to UNEG.

Following a competitive process and the issuance of a vacancy announcement (January-February 2018), a consultant was recruited to analyse the survey results and lead the drafting of a Partnership Strategy for UNEG. An initial analysis of the survey was presented to the Working Group in early March 2018. As follow-up, separate one-to-one discussions are being held by the consultant with various UNEG members and a selected sample of current and prospective partners to further discuss/validate the initial findings.

a mechanism for decision-making on each partnership agreement & activities RESULT:

Based on the survey analyses and the interviews, key elements of a possible UNEG partnership strategy will be discussed within the SO4 WG. By end of April 2018, a first proposal for a UNEG Partnership Strategy will be submitted for review at the AGM in Rome (May 2018). Following the meeting, the partnership strategy will be finalized and work on a UNEG Partnership Engagement Plan will start (second part of 2018).

C. Work stream 3: Moving UNEG partnerships to the next level, based on the new strategy (a) Partnership agreement with each partners (b) Various Partnership activities supported (c) New and Innovative partnership activities identified/piloted

Work stream 2 activities: i) Potential partners reached out for developing an agreement for collaboration ii) Engagement by UNEG members in Partnership activities identified iii) New and innovative partnership activities sought, and discussed for pilot RESULT:

This work is pending the finalization of the UNEG Partnership strategy and the conceptualization of a partnership engagement plan. In preparation for this step, a database of UNEG partners and potential partnership has been prepared. It is expected that this workstream will start at latest in 2019.

The Way Forward (201/2019 and beyond): In 2018, the Working Group will focus on Working Stream 2: Building up a common UNEG partnership strategy (a) Partnerships Strategy developed (b) A decision-making mechanism (e.g. Partnership Committee) set up The Working Group would have drafted the revised UNEG Partnership Strategy for review of the AGM and UNEG members. Once the strategy is agreed, it will guide the drafting of the UNEG Partnership Engagement Plan detailing the approach, activities, roles and responsibilities of UNEG members against potential partners. This engagement plan will also identify entry points to various established evaluation networks. In 2019, the Working Group will focus on Working Stream 3: Moving UNEG partnerships to the next level, based on the new strategy (a) Partnership agreement with each partners (b) Various Partnership activities supported (c) New and Innovative partnership activities identified/piloted The Working Group would have identified potential mechanism for engaging evaluation partners. Appropriate tools for engagements (i.e. letters of agreement, MOUs, etc.) would have been identified and discussed with the AGM and UNEG members. Various UNEG partnerships rooted from the UNEG

	Partnership Strategy and UNEG Partnership Engagement Plan will be defined and piloted by the end of 2019.	

## **Annex 2. UNEG Members' Financial Contributions**

Tier		a. UN	NEG Contri	butions 20	14-2018 (US	S\$)- (Incl	uding Earmarked Funds)
1101	Contributors	2014	2015	2016	2017	2018	Notes
	FAO	19,260	21,600	21,600	21,600	-	
	IFAD	21,600	47,237	1	21,600	-	2015 contribution includes \$27237.08 earmarked for SO1 and 20,000 for 2015
	OIOS	5,000	5,000	20,000	20,000	20,000	
	UNDP	70,000	20,000	40,000	150,000	20,000	2016 contribution includes \$10,000 for SO3 GE &HR working group, \$10,000 for SO3 Humanitarian Evaluation Interest Group. 2017 contribution includes \$100,000 for ISWE \$30,000 for World Data Forum (earmarked) and \$20,000 for 2017 fee.
Tier 5	UNICEF	160,000	-	20,000	20,000	20,000	2014 contribution includes \$20,000 for 2014 and \$140,000 earmarked funds. <b>Background:</b> In 2014, \$200,000 was earmarked by UNICEF for SO4, out of that total \$60,000 was moved to UNEG general fund to cover UNICEF membership fee 2016, 2017 and 2018. UNEG SO4 expensed UNICEF earmarked fund in the amount of \$40,000 to financially support IOCE for the forthcoming EvalPartners Global Forum. UNICEF transferred \$40,000 to IOCE directly and UNEG made an internal transfer of \$40,000 within the UNEG account from the SO4 budget to the UNEG general fund to compensate UNICEF's transfer. In Nov'2017 UNICEF allocated \$20,000 for the fee of the year 2018 and rest to UNEG general funds out of remaining balance of Earmarked funds.
Tier 4	GEF	5,000	5,400	5,400	5,000	-	

	UN WOMEN	20,000	150,000	15,000	15,000	16,200	In 2015, \$150,000 earmarked for activities on gender equality (Earmarked balance: \$78,000); \$30,000 signed in 2015: \$15,000 each for 2016 and 2017; \$16,200 for 2018 membership fee; signed in 2015.
	WFP	34,727	47,400	-	16,200	-	2014 contribution includes \$10,000 directly from WFP for membership fee and \$27,727 via UNICEF earmarked for peer review. 2015 contribution includes \$15,000 for 2015 fee and \$32,400 earmarked for SO3 SDG working group
	IAEA	-	-	10,800	10,800	-	
	ILO	-	10,800	10,780	10,800	-	
	ОСНА	10,000	10,000	10,800	10,000	10,000	
	UNEP	10,000	10,000	10,000	10,800	10,800	\$30,000 signed in 2015 as a three-year contribution: \$10,000 each for 2014,2015,2016 signed in 2016; \$21600 for 2017 & 2018 singed in 2017
Tier 3	UNESCO	-	-	10,800	5,400	10,800	UNESCO financed a consultant for SO1 N&S (\$8,000) in 2015; this contribution was not made through UNEG Secretariat
	UNFPA	30,000	-	10,000	10,800	10,800	Fee for 2017 & 2018 signed in 2017
	UNHCR	10,000	10,000	10,800	10,800	-	
	UNIDO	7,326	5,535	-	5,535	5,535	Fee for 2017 & 2018 signed in 2017
	UNODC	-	10,800	-	10,800	-	
	WHO	-	-	10,800	10,800	-	
	СТВТО	-	-	-	5,400	-	
	DESA	-	-	-	-	-	
	DGACM	-	-	-	-	-	
Tier 2	DPI	-	-	-	-	_	
	DPKO/DFS	-	-	-	-	_	
	ECA	-	-	-	-	_	
	ECLAC	-	-	-	-	-	

	ESCAP	-	-	5,000	5,000	-	
	ITC	3,000	3,000	3,000	3,000	3,000	In 2017, \$12,000 signed for four-years contribution fee (2015-2018)
	PBSO	-	-	5,000		-	
	<b>UN-Habitat</b>	-	-	10,000	-	-	
	UNRWA	-	40,000	-	-	-	In 2015, \$40,000 was earmarked for the UNRWA peer review. The fund is also due to cover the \$5k membership fee.
	WIPO	5,203	-	-	5,400	-	
	ECE	-	-	1,080	1,080	_	
	ESCWA	-	-	-	1,080	1,080	
	ICAO	-	-	1,060	-	-	
	IMO	-	-	1,080	1,080	-	
	IOM	1,000	1,000	-	1,080	-	
	OHCHR	-	1,000	1,080	1,080	-	
	OPCW	-	-	1,080	1,080	-	-
	РАНО	-	1,000	1,080	1,080	-	Fee for 2016 and 2017 was signed in 2017.
TD: 1	UNAIDS	-	-	1,080	1,080	-	-
Tier 1	UNCDF		5,000	1,080	1,080	-	-
	UNCTAD	-	-	1,080	1,080	-	-
	UNICRI	-	-	1,000	1,000	-	Fee for 2016 and 2017 was in 2015.
	UNITAR	-	-	1,080	1,080	-	-
	UNV	-	-	1,080	1,080	-	-
	WMO	-	1,080	-	1,080	-	-
	WTO	-	-	-	-	-	-
	SDG-F	-	-	-	-	-	-
	GCF	-	-	-	1,080	-	Observer- 2017 contribution.
	Total	414,130	405,852	242,640	400,855	128,215	

From 2014 onward, ccontributions correspond to the year regardless of the signing date. Multi-year contributions have been bifurcated for different years according to the agreement. For instance, if an agency makes a multi-year contribution for 2017 and 2018 in 2017, the amount of fund assigned for 2018 will be listed under 2018.

Table does not reflect other income (Interest, exchange gain/loss)

Tier	b. UNEG Contributions 2014-2018 (US\$)-Without Earmarked Funds						
	Contributors	2014	2015	2016	2017	2018	
Tier 5	FAO	19,260	21,600	21,600	21,600	-	
	GEF	5,000	5,400	5,400	5,000	-	
	IFAD	21,600	20,000	-	21,600	-	
	OIOS	5,000	5,000	20,000	20,000	20,000	
	UNDP	70,000	20,000	20,000	20,000	20,000	
	UNICEF	20,000	-	20,000	20,000	20,000	
Tier 4	UN WOMEN	20,000	-	15,000	15,000	16,200	
Tier 4	WFP	10,000	15,000	ı	16,200	-	
	СТВТО	-	-	-	5,400	-	
	IAEA	-	-	10,800	10,800	-	
Tier 3	ILO	-	10,800	10,780	10,800	-	
	ОСНА	10,000	10,000	10,800	10,000	10,000	
	UNEP	10,000	10,000	10,000	10,800	10,800	
	UNESCO	-	-	10,800	5,400	10,800	
	UNFPA	30,000	ı	10,000	10,800	10,800	
	UNHCR	10,000	10,000	10,800	10,800	-	
	UNIDO	7,326	5,535	-	5,535	5,535	
	UNODC	-	10,800	-	10,800	-	
	DESA	-	-	-	-	-	
Tier 2	DGACM	-	-	-	-	-	
	DPI	-	1	1	-	-	
	DPKO/DFS	-	-	-	-	-	

	ECA	-	-	-	-	-
	ECLAC	-	-	-	-	-
	<b>ESCAP</b>	-	-	5,000	5,000	-
	ITC	3,000	3,000	3,000	3,000	3,000
	PBSO	-	-	5,000		-
	<b>UN-Habitat</b>	-	-	10,000	-	-
	UNRWA	-	5,000	-	-	-
	WHO	-	-	10,800	10,800	-
	WIPO	5,203	-	-	5,400	-
	ECE	-	-	1,080	1,080	1
	ESCWA	-	-	-	1,080	1080
	ICAO	-	<u>-</u>	1,060	-	-
	IMO	-	-	1,080	1,080	-
	IOM	1,000	1,000	-	1,080	-
	OHCHR	-	1,000	1,080	1,080	-
	OPCW	-	-	1,080	1,080	1
	РАНО	-	1,000	1,080	1,080	-
	UNAIDS	-	-	1,080	1,080	1
Tier 1	UNCDF		5,000	1,080	1,080	-
	UNCTAD	-	-	1,080	1,080	-
	UNICRI	-	-	1,000	1,000	-
Ī	UNITAR	-	-	1,080	1,080	-
	UNV	-	-	1,080	1,080	-
	WMO	-	1,080	-	1,080	-
	WTO	-	-	-	-	-
	GCF	-	-	-	1,080	-
<u> </u>	MDG-F	-	-	-	-	-
	Total	249,403	161,215	222,640	270,855	128,215

## **Annex 3. 2017 Income and Expenditure Account**

Income & Expenditure Acco	ount for the Year	2017	
Assessed User d	Out totals	(-(-)-	Balanca
Account Head	Sub-totals	totals	Balance
<u>Income</u>			
- Opening balance - 2017 Receipts		595,329 407,670	
- Earmarked Funds:		407,070	
SO3 (\$100,000 for ISWE \$30,000 for World Data Forum			
were earmarked by UNDP);			
UNWomen earmarked fund Balance was \$78,000 by the			
end of reporting period \$10,000 by UNFPA for 2018 Eval-Week;			
\$10,000 for MTR by UNFPA, balance was nil by the end			
of the reporting period;		(218,000)	
			784,999
Expenditure			
- Direct Implementation Cost		284,088	
S01			
Audio Visual Productions; Consultants costs; Postage &			
Pouch; UNDP Facilities & Admin - Implement	58,047		
S02 S03	-		
Consultants costs; Hospitality-Vouchered Expenses; Tavel;			
UNDP Facilities & Admin - Implement	24,959		
SO4			
Audio Visual Productions; Consultants costs; Postage &			
Pouch; Travel; UNDP Facilities & Admin - Implement  Eval-Week	44,512		
Hospitality-Special Events; Tavel; UNDP Facilities & Admin -			
Implement	23,666		
UNEG Secretariat			
Int./local Consultants Costs (MTR & UNEG Assistant),			
Information Technology services; Stationary & postage; Travel;	400.004		
UNDP Facilities & Admin - Implement	132,904		
			284,088
Excess/(Loss) of Income over Expenditure			500,911

## Annex 4a Expenditure by SO per Donor (Jan-Dec 2017) (based on 5 March 2018 combined delivery report)

Activity	Donor	Sum of Expenditure (2017)	PO (Commitment)
_	UNDP	7,123	
	UNICEF	389	
	IFAD	2,140	
	WFP	89	
	ОСНА	5,147	
	UNHCR	10,651	
	GEF	4,525	
	ILO	10,625	
SO1	UNIDO	440	
	UNAIDS	5270	
	UNECE	1,079	
	ESCAP	5,145	
	UNRWA	3,397	
	OIOS	2,027	
		,	
SO1 Total:		58,047	
502	UNDP	11,385	
SO3	UNW	13,574	
SO3 Total		24,959	
SO4	UNICEF	22,101	
	UNW	22,412	
SO4 Total		44,512	
	UNESCO	1,818	1,284
	FAO	840	
	ICAO	1,058	
	UNW	7,249	
	UNDP	70,024	23,459
	UNFPA	11,005	9,677
	UNV	1,000	1320
	UNICEF	7,755	26,400
Secretariat	WFP	5,552	9,601
	ОСНА	7,949	
	UNHCR	3,557	4,692
	UNEP	6,669	8,797
	SDG-F	17,841	8,000
	РАНО	201	
	UN-Habitat	9,467	
	UNCDF	1028	
	OIOS	3,557	4,692
Secretariat Total		156,570	97,922
	Grand Total	284,088	97,922

# Annex 4b Expenditure by Donor per SO (Jan-Dec 2017) (based on 5 March 2018 combined delivery report)

Donor	Activity	Sum of Expenditure (2017)	PO (Commitment)
ESCAP	SO1	5,145	,
FAO	Secretariat	840	
GEF	SO1	4,525	
ICAO	Secretariat	1,058	
IFAD	SO1	2,140	
ILO	SO1	10,625	
ОСНА	Secretariat	7,949	
0	SO1	5,147	
	CHA Total	13,096	
OIOS	SO1	2,027	4.602
0	Secretariat OOS Total:	3,557	4,692
РАНО	Secretariat	5,584	
SDG-F	Secretariat	17,841	8,000
			8,000
UNAIDS	SO1	5270	
UNCDF	Secretariat	1028	
	SO3	11,385	
UNDP	SO1	7,123	
	Secretariat	70,024	23,459
UNDP Total:		88,532	23,459
UNECE	SO1	1,079	
UNEP	Secretariat	6,669	8,797
UNESCO	Secretariat	1,818	1,284
UNFPA	Secretariat	11,005	9,677
UN-Habitat	Secretariat	9,467	
UNHCR	Secretariat	3,557	4,692
UNHCK	SO1	10,651	
UN	HCR Total:	14,208	
	SO4	22,101	
UNICEF	Secretariat	7,755	26,400
	SO1	389	
UN	ICEF Total:	30,245	26,400
UNIDO	SO1	440	
UNRWA	SO1	3,397	
UNV	Secretariat	1,000	1320
	SO3	13,574	
UNW	SO4	22,412	
Secretariat UNW Total:		7,249 43,235	
U	Secretariat	5,552	9,601
WFP	SO1	89	9,001
V	VFP Total:	5,641	9,601
(J	rand Total:	284,089	97,920

## Annex 5 Strategic Objective Group Member Lists (as of February 2017)

Strategic Objective One (SO1): Evaluation functions and products of UN entities meet the UNEG Norms and Standards for evaluation, Led by SO1 Vice Chair Andrea Cook (WFP)

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Strategic Objective Two (SO2): UN entities and partners use evaluation in support of accountability and programme learning, led by SO2 Vice Chair Oscar Garcia (IFAD)

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Strategic Objective Three: Evaluation informs UN system-wide initiatives and emerging demands, led by SO3 Vice Chair Indran Naidoo (UNDP)

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Strategic Objective Four: UNEG benefits from and contributes to an enhanced global evaluation profession, led by SO4 Vice Chair – Masahiro Igarashi (FAO)

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## Annex 6 List of UNEG Heads, Observers and Institutional Partners (as of April 2018)

Agency	UNEG Head	Title
СТВТО	Thierry HERITIER	Chief, Quality Management and Performance Monitoring Section
DPKO	Kym Taylor	Chief, Evaluations Team, Policy, Evaluation and Training
		Division/DPKO-DFS
FAO	Masahiro Igarashi (Vice Chair)	Director, Evaluation Office
GEF	Juha Uitto	Director, Independent Evaluation Office
IAEA	Carsten Meyer	Director, OIOS
ICAO	Tuncay Efendioglu	Director, Evaluation and Internal Audit Office
IFAD	Oscar Garcia (Vice Chair)	Director, Independent Office of Evaluation
ILO	Guy Thijs	Director, Evaluation Office
IMO	Sung-Jin Kim	Senior Deputy Director, Internal Oversight and Ethics Office
	Ü	
IOM	Christophe Franzetti	Evaluation Officer, Office of the Inspector General
ITC	Miguel Jimenez-Pont	Head M&E Unit, Office of Executive Director
OCHA	Victoria Saiz-Omenaca	Strategy Planning Evaluation and Guidance Section
OHCHR	Jennifer Worrell	Chief of Policy, Planning, Monitoring and Evaluation Section
OIOS	"Eddie" Yee Woo Guo	Director, Inspection and Evaluation Division, Office of
		Internal Oversight Services
OPCW	Lukasz Wieczerzak	Senior Evaluator and Quality Assurance Officer, Office of Internal Oversight (OIO)
РАНО	Maria C. Kobbe	
РАПО	Maria C. Kobbe	Evaluation Advisor, Office of Internal Oversight and Evaluation Services
UN DGACM	Maria Grazia Bovo	Chief of the Evaluation, Analysis and Monitoring Unit (EAMU)(i.e. Former MERS)
UN DPI	Janet Wieser	Chief, Evaluation and Communications Research Unit
UN Women	Inga Sniukaite	OiC, Evaluation Office
UN/DESA	Zina Mounla	Development Cooperation Policy Branch
UNAIDS	Elisabetta PEGURRI	Evaluation Unit
UNCDF	Andrew Fyfe	Evaluation Officer
UNCTAD	Madeeha Bajwa	Chief, Evaluation and Monitoring Unit
UNDP	Indran Naidoo (Vice Chair)	Director, Independent Evaluation Office
UNECA	Eskedar Nega	Chief, Evaluation Section, Strategic Planning and Operationa
		Quality Divison
UNECE	Catherine Haswell	OIC, Programme Management Unit
UNECLAC	Raul Garcia-Buchaca	Chief, Programme Planning and Evaluation Unit
UNEP	Mike Spilsbury	Director, Evaluation Office
UNESCAP	Edgar Dante	Programme Management Officer
UNESCO	Susanne Frueh (Chair)	Director, Internal Oversight Service
UNESCWA	Khawla Mattar	Deputy Executive Secretary for Programme Support
UNFPA	Marco Segone	Director, Evaluation Office
UN-	Martin Barugahare	Chief, Evaluation Unit, Office of the Executive Director
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UNHCR	Ritu Shroff	Head, Policy Development and Evaluation Service
UNICEF	George Laryea-Adjei	Director, Evaluation Office

UNICRI	Itziar Arispe	Monitoring and Evaluation Officer, CBRN Risk Mitigation and Security Governmence Programme
UNIDO	Javier GUARNIZO	Chief and Officer-in-Charge, Independent Evaluation
		Division
UNITAR	Brook Boyer	Head of Planning, Performance and Results Section
UN PBSO	Tammy Smith	Senior Monitoring & Evaluation Specialist, Financing for
		Peacebuilding Branch, Peace Building Support Office
UNODC	Katharina Kayser	Chief, Independent Evaluation Unit
UNRWA	Robert Stryk	Chief, Evaluation Division
UNV	Gelfiya Schienko	Chief, Results Management Support Section
WFP	Andrea Cook (Vice Chair)	Director, Office of Evaluation
WHO	Elilarasu Renganathan	Representative for Evaluation and Organizational Learning
WIPO	Rajesh SINGH	Director, Internal Oversight Division
WMO	Alok Ojha	Director, Internal Oversight Office
WTO	Claude Trolliet	Officer-in-charge, Technical Assistance Monitoring &
		Evaluation

### **UNEG Observers**

JIU	Urenthren PILLAY	Executive Secretary	
SDG-F	Paloma Duran	Director a.i., SDG-F	
World Bank	Caroline Heider	Director-General, Evaluation	
UNDPA*	Ninamma Rai	Programme Evaluation Officer, Office of the Under- Secretary-General, Department of Political Affairs	
GCF	Jo (Jyotsna) Puri	Head, Independent Evaluation Unit (IEU), Green Climate Fund	
GGGI	Warin Nitipaisalkul	Head of the Impact and Evaluation Unit (IEU), Global Green Growth Institute (GGGI)	
ICC	Ian Fuller	Head, Independent Oversight Mechanism (IOM)	

<sup>\*</sup>DPA was granted UNEG membership in April 2018.

### **Institutional Partners**

- Evaluation Co-operation Group (ECG)
- DAC Network on Development Evaluation (DAC-EvalNet)
- International Organization for Cooperation in Evaluation (IOCE)
- The Active Learning Network for Accountability and Performance in Humanitarian Action (ALNAP)
- The Water Supply and Sanitation Collaborative Council, a project under UNOPS (WSSCC)

## **Annex 7a UNEG Membership Fee Proposal 2014**

### **UNEG Membership Fee Proposal**

\*UNEG membership fee proposal was first drafted in 2014. The 2016 and 2017 AGM discussed the status of the ongoing UNEG membership fee pilot and endorsed the continuation of the pilot from 2016 to 2018. During this period, the payment can be made on a voluntary basis, where member agencies are encouraged to contribute as they can.

The Executive Group proposes, based on comments received from members, to use the size of an evaluation unit (including all professional and supporting staff in the unit), as the marker for the membership fee. Based on the information available, the Executive Group sees that the size of an evaluation unit and the evaluation expenditures are positively correlated. The Executive Group also used voluntary contributions in the past two years as a reference for the scale of the four tiers.

As some members expressed concerns of the ability to contribute the full amount in general or within specific budget cycle, the Executive Group decided to use the following as a *suggested* membership fee scheme as minimal annual contribution to UNEG. Members, who contribute the suggested membership fee or more, will be recognized as *UNEG Full Members*. Those who cannot contribute the full amount of the suggested membership fee will be considered as *UNEG Associate Members*. The entitlements of UNEG Full Members and Associate Members are defined below. Members can contribute anytime during the year, but not later than 31 December. Contribution can be made in several instalments. Members are encouraged to contribute to UNEG general funds and specific activities (ear-marked funds).

The Executive Group recognized that UNEG members' in-kind contribution has been among UNEG's most important assets and traditions. However, after careful consideration and based on consensus, it proposes that a membership contribution in cash should be put in place as a matter of principle in order to strengthen commitment and ownership, as well as to enhance UNEG's predictability of funding.

#### **Membership Fee Scheme**

The following table\* provides a basic idea for the membership fee scheme. Each UNEG member can verify the size of its evaluation unit afterwards.

Please note that as of January 1 2014, the UNDP General Management Support (GMS) rate for UN to UN agency contribution has been increased from 7% to 8%.

Size of the Evaluation Units(including UNEG Heads, professional and supporting staff)	Suggested UNEG Membership Fee (USD)	Agencies
15 or more staff members	20,000	FAO, IFAD, OIOS, UNDP, UNICEF
10-14 staff members	15,000	GEF, UN Women, WFP

5-9 staff members	10,000	IAEA, ILO, OCHA, UNEP, UNESCO, UNFPA, UNHCR, UNIDO, UNODC, WHO
3-4 staff members	5,000	CTBTO, DESA, DGACM, DPI, DPKO/DFS, ECA, ECLAC, ESCAP, ITC, PBSO, UN-Habitat, UNRWA, WIPO
2 or less staff members	1,000	ECE, ESCWA, ICAO, IMO, IOM, OHCHR, OPCW, PAHO, UNAIDS, UNCDF, UNCTAD, UNICRI, UNITAR, UNV, WMO, WTO

Please round the figure if needed to reflect staff members who do not work 100% on evaluation related work.

#### **Entitlements of Full Members and Associate Members**

Entitlements of Members are as follows:

- 1) free attendance to the Evaluation Practice Exchange (EPE) seminars and Annual General Meetings (AGM)
- 2) a vote in UNEG elections and other specific matters
- 3) a voice in identifying and prioritizing UNEG's strategic direction and activities
- 4) free copies of UNEG publications

UNEG Full Members will have all the above entitlements; UNEG Associate Members have almost the same entitlements except 1). They will pay registration fee for EPE and AGM.

All UNEG members should achieve, or strive to achieve, the UNEG Norms and Standards and should be committed to UNEG work.

### **Annex 7b 2018 UNEG Membership Fee Survey Summary**

Survey Summary is available at: <a href="https://www.surveymonkey.com/results/SM-3B2989V7L/">https://www.surveymonkey.com/results/SM-3B2989V7L/</a>.



<sup>\*</sup>The table has been updated based on information on the office sizes received from UNEG members.